

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
APPLICATION

In re: PATENT APPLICATION of:  
 Inventor(s): Lawrence M. Giacoma, et al.  
 Appl. No.: 10/ 606,452

OCT 12 2005 Series Code ↑ Serial No. ↑

Filed: June 26, 2003

Title: Low Cost Method Of Forming A Heat Exchanger  
 With An Increased Heat Transfer Efficiency  
 (as amended)

Group Art Unit 3753  
 Examiner: Allen J. Flanigan  
 Atty. Dkt. 200-65201  
 No.

PB030019AF

Client Ref

R C E

**DO NOT USE FOR PROVISIONAL, DIVISIONAL, CIP  
 OR DESIGN APPLICATIONS, OR REEXAMINATION  
 OF PATENTS**

Mail Stop RCE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Date: October 12, 2005

Sir:

**REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114**

Please continue the examination of this application.

**PREREQUISITES**

This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.

An issue fee has not been paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).

**Prosecution has been closed as defined in Rule 114(b).**

**Reply to any outstanding action and Rule 17(e) filing fee must be enclosed**

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

Please consider the following before the next Official Action:

1. Please  enter  do not enter the Amendment filed \_\_\_\_\_
2.  The enclosed Letter to the Examiner.
3.  Consider the arguments in the appeal brief filed \_\_\_\_\_ and reply brief filed \_\_\_\_\_
4.  The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5.  The enclosed Information Disclosure Statement, Form PTO-1449 and 6 references.
6.  The Enclosed Non-Publish Request.
7.  Please suspend action under Rule 103(c) for a period of \_\_\_\_\_ months (3 mos. Max) for which the required \$130 fee is enclosed
8.  A check in the amount of \$790 is enclosed of which \$790 is the required RCE filing fee. (Postcard, fee transmittal (with copy) are also attached.)

(Our Deposit Account No. 502305)

(Atty. Dkt No.) 200-65201

Express Mail No. EV590185613US

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Correspondence Address Below Law Offices of Mark C. Pickering

P.O. Box 300  
 Petaluma, CA 94953-0300  
 (707) 762-5500  
 Customer No. 33402

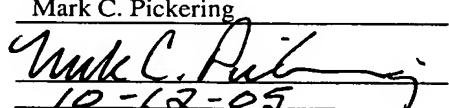
By Atty:

Mark C. Pickering

Reg. No.

36,239

Sig:



Date:

Fax: (707) 762-5504

Tel: (707) 762-5583



# FEE TRANSMITTAL

## For FY 2005

*Patent Fees are subject to annual revision.*

Express Mail No. EV590185613US

10-14-05

ZMM  
Rae/37520

TOTAL AMOUNT OF PAYMENT

\$790

*Complete if Known*

Application Number	10/606,452
Filing Date	June 26, 2003
First Named Inventor	Lawrence M. Giacoma, et al.
Examiner Name	Allen J. Flanigan
Group Art Unit	3753
Attorney Document No.	200-65201 (PB030019AF)

### METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge any fees or credit any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 502305

LAW OFFICES OF MARK C. PICKERING

Applicant claims small entity status. See 37 CFR 1.27.

Payment Enclosed:

Check  Money Order  Other

### FEES CALCULATION

#### 1. FILING FEE/SEARCH FEE/EXAMINATION FEE

LARGE ENTITY                    SMALL ENTITY

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee	Description	Fee Paid
1011/1111/1311	1000	2011/2111/2311	500	Utility		
1012/1112/1312	430	2012/2112/2312	215	Design		
1013/1113/1313	660	2013/2113/2313	330	Plant		
1014/1114/1314	1400	2014/2114/2314	700	Reissue		
1005	200	2005	100	Provisional		
<b>SUBTOTAL (1)</b>						<b>0</b>

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	15-20 **	= 0	x 50 = \$ 0
Independent	2-3	= 0	x 200 = \$ 0
Multiple Dep.		*	= \$ 0

\*\* or number previously paid, if greater; for Reissues, see below:

Large Entity	Small Entity	Fee Description
Fee Code	Fee (\$)	Fee Description
1202	50	2202                    25                    Claim in excess of 20
1201	200	2201                    100                    Independent claims in excess of 3
1203	360	2203                    180                    Multiple dependent claim, if not paid
1204	200	2204                    100                    ** Reissue ind. claims over original patent
1205	50	2205                    25                    ** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		

### FEES CALCULATION (continued)

#### 3. Additional Fees

Large Entity                    Small Entity

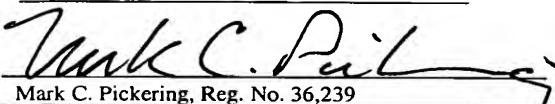
Fee Code	Fee
1051	130
1052	50
1053	130
1812	2520
1804	920
1805	1840
1251	120
1252	450
1253	1020
1254	1590
1255	2160
1401	500
1402	500
1403	1000
1451	1510
1452	500
1453	1500
1501	1400
1502	800
1503	1100
1460	130
1807	50
1806	180
8021	40
1809	790
1810	790
1801	790
1802	900
<b>SUBTOTAL (3)</b>	

\*Reduced by Basic Filing Fee Paid                    **SUBTOTAL (3)**                    \$790

### SUBMITTED BY

Law Offices of Mark C. Pickering  
P.O. Box 300  
Petaluma, CA 94953-0300  
Telephone: (707) 762-5583  
Facsimile: (707) 762-5504  
Customer No. 33402

Date: 10-12-05

By:   
Mark C. Pickering, Reg. No. 36,239